## **Review Article**

# **Effects of Transcranial Direct-Current Stimulation and Cognitive Training on Individuals with Mild Cognitive Impairment and Dementia: A Systematic Review and Meta-Analysis**

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## **A B S T R A C T**

**Introduction:** We aimed to systematically evaluate the most recent evidence regarding the potential short-term and long-term synergistic effects of transcranial direct-current stimulation (tDCS) and cognitive training (CT) on the memory of individuals with mild cognitive impairment (MCI) or dementia and to explore the optimal treatment protocol.

**Materials and Methods:** Following the preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines, a comprehensive literature search on PubMed, Medline, CINAHL and EMBASE was conducted to identify eligible randomized controlled trials (RCTs) published up to December 2022. The identified studies were summarized and analyzed to examine the efficacy of the combined intervention.

**Results:** Ten studies involving participants with MCI or dementia were included. Four RCTs with memory-related outcomes were analyzed. A small-to-medium effect size (ES) of 0.28 was found for the short-term effect (95% CI, 0.02%, 0.55%). However, the long-term effect was non-significant, with an ES of 0.17 (95% CI, -0.09%, 0.44%).

**Conclusion:** The combined intervention appears to effectively mitigate cognitive decline in the short term only. Optimal treatment protocol remains inconclusive due to heterogeneity among studies. More robust evidence is required to determine whether the combined approach can serve as an effective intervention in clinical practice.

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## **Introduction**

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ild cognitive impairment (MCI) is characterized by a cognitive function decline that falls below average yet allows individuals to maintain functional independence in daily activities [\[1\].](#page-10-0) Viewed

as a transitional phase between normal cognitive decline and dementia-related deterioration, MCI elevates the risk of dementia development [\[2\]](#page-10-1). Epidemiological data indicate that the global prevalence of dementia, estimated at 55.5 million, is projected to rise to 75.62 million by 2030. This increment will burden our healthcare system with an estimated 2 trillion dollars [\[3\].](#page-10-2) Over the last decade, drug trials that aimed at curbing cognitive decline, particularly in Alzheimer disease (AD), have yielded insignificant results. One plausible explanation is that pathophysiological alterations start years before the manifestation of overt cognitive deficits, rendering cognitive function irreparable at the diagnosis stage  $[4]$ .

Given the scarcity of pharmaceutical solutions, researchers have shifted their focus toward delaying the progression from MCI to dementia. Cognitive training (CT), involving tasks designed to stimulate basic cognitive domains like memory, attention, and processing speed, has emerged as an effective strategy. A recent review proposed CT as a potential means to decelerate cognitive decline in MCI patients, citing a moderate to large effect size (ES) for this intervention [\[5\].](#page-10-3)

Besides CT, novel neuromodulation techniques, such as transcranial direct-current stimulation (tDCS), have drawn researchers' attention. tDCS is a safe, economical, and noninvasive brain stimulation method that delivers an unidirectional flow of weak current through electrodes placed on the scalp [\[6\].](#page-10-4) Stimulation-induced electric fields can alter the membrane potential threshold, causing cortical excitation or inhibition contingent upon the electrode montage [\[7\]](#page-10-5). These alterations manifest during the stimulation period potentially induce changes in local neurotransmitter concentrations like glutamate and gamma-aminobutyric acid (GABA) [8]. Accumulation of these transient effects may further induce long-term potentiation (LTP) or depression (LTD), which are the crucial components of neuroplasticity supporting memory and learning processes [\[9\].](#page-10-6) Animal models have robustly established these long-standing effects [\[10\]](#page-10-7) and clinical trials have demonstrated tDCS's efficacy in eliciting neuronal changes across various neurodegenerative disorders, including AD, with encouraging results [\[11, 12\].](#page-10-8)

Given its modulatory capabilities, tDCS can modify the cerebral physiology underlying cognition, enhancing cognitive performance in individuals with MCI or dementia [\[13\]](#page-10-9). Specific neural circuits are activated with increased neuronal firing when cognitive stimuli engage them, and these active circuits can be targeted and reinforced further by tDCS [\[14\]](#page-10-10). Therefore, a combined approach of tDCS and CT might yield enhanced effects. Previous research assessing therapeutic modalities for MCI or dementia generally supports the role of CT in combating cognitive decline [\[15, 16\]](#page-10-11), as well as tDCS [\[17\].](#page-11-0) However, evidence on the combined effect of both therapies has remained insufficient. This study seeks to explore the synergistic effect of tDCS and CT on MCI or dementia patients' cognition, especially on memory, in both short-term and long-term, by reviewing the most recent evidence. Additionally, this research aims to identify the optimal treatment protocol considering different stimulation parameters and CT patterns.

## **Materials and Methods**

This research adhered to the preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines [18]. The methodological steps include a systematic literature search, study selection, data extraction, methodological quality assessment, and data analysis.

#### **Literature search**

A comprehensive literature search was conducted across [PubMed,](https://pubmed.ncbi.nlm.nih.gov/) [Medline,](https://www.medline.com/) [CINAHL](https://www.ebsco.com/products/research-databases/cinahl-database) and [EMBASE](https://www.embase.com/) databases. The search criteria encompassed articles published from the inception of these databases until December 2, 2022. The search strategy involved using a combination of the following keywords and operators: ("tDCS" OR "transcranial direct current stimulation") AND ("cognitive rehabilitation" OR "cognitive enhancement" OR "cognitive training" OR cognitive therapy") AND ("MCI" OR "mild cognitive impairment" OR "Dementia" OR "Alzheimer's disease"). No restrictions were applied in the search strategy. A manual hand search was also performed to identify additional relevant studies from the reference lists of selected articles.

## **Study selection**

The study selection process involved an initial screening of articles based on their titles, keywords and abstracts. After the removal of duplicate studies, the remaining articles were further scrutinized by two independent investigators under the following inclusion criteria: study subjects had a confirmed diagnosis of MCI or dementia, the study was an RCT, the treatment group underwent both tDCS and CT and the control group received sham tDCS or no brain stimulation. The exclusion criteria were as follows: Unavailability in full text, non-English publications, studies involving alternative brain stimulation techniques, and animal or computational studies.

## **Data collection and risk of bias in individual studies**

The full text of the selected articles was thoroughly reviewed. Key study data were meticulously extracted and summarized, including study design, participant characteristics, tDCS parameters, details of CT, mode of intervention, time points of assessments, outcome measures, and effect on cognition. The physiotherapy evidence database (PEDro) scale was applied to assess the methodological quality of each selected study [\[19\].](#page-11-1) Two independent investigators were involved in the selection and assessment process.

## **Data analysis**

The clinical heterogeneity among the studies was carefully examined. Available quantitative data for the outcome measures regarding the memory domain were targeted for further analysis, as impaired memory is one of the most prominent symptoms in patients with MCI and dementia  $[20]$ . The most conservative outcome was selected in multiple memory-related outcomes across studies [\[21\].](#page-11-3) Numerical data, including the Mean±SD and sample size, were treated as continuous variables and processed in RevMan software, version 5.4 to calculate the ES. A random-effect model was applied since assuming a fixed common true effect across studies is implausible given the variabilities in the study designs and outcome measures [\[22\]](#page-11-3). ES calculation was expressed as the standardized mean difference with a 95% confidence interval (CI), differentiated into small, medium, and large effects according to Cohen's convention (d=0.2; d=0.5; d=0.8) [\[23\]](#page-11-4). The I<sup>2</sup> statistic was used to measure heterogeneity, with a value of ≥40% indicating statistical heterogeneity. The statistical significance threshold was set at  $P=0.05$ . The short-term synergistic effect of tDCS with CT was evaluated by calculating the difference between the experimental and control groups at post-treatment evaluation relative to baseline. The difference between the two groups at follow-up evaluation relative to baseline was calculated for the long-term effect. Data from the most distant follow-up session were used for this calculation.

## **Results**

#### **Study selection**

We identified 542 articles from databases: [PubMed](https://pubmed.ncbi.nlm.nih.gov/)  $(n=365)$ , [EMBASE](https://www.embase.com/)  $(n=133)$ , [Medline](https://www.medline.com/)  $(n=28)$  and [CI-](https://www.ebsco.com/products/research-databases/cinahl-database)[NAHL](https://www.ebsco.com/products/research-databases/cinahl-database) Ultimate (n=16). After removing duplicates, 455 articles remained. Upon further screening, 28 articles appeared potentially eligible. Eventually, 10 were selected for review, with 4 showing memory-related outcomes that were further selected for meta-analysis. The selection process is shown in [Figure 1](#page-3-0).

### **Characteristics of the studies**

[Table 1](#page-5-0) enumerates the primary findings of the 10 studies included, which involved 503 participants. This pool included 229 individuals with MCI and 274 with dementia. Four studies focused on MCI [\[24-27\],](#page-11-5) four on dementia [\[28-31\]](#page-11-6) and the remaining two studies recruited a mixed group of participants [\[32, 33\].](#page-11-7)

The study designs varied, with three studies examining MCI using a parallel design [\[24-26\]](#page-11-5) and one employing a crossover design [\[27\]](#page-11-8). Of the studies investigating dementia, two used a parallel-group design [\[30, 31\],](#page-11-9) while the remaining two employed a crossover design [\[28, 29\].](#page-11-6) Two studies examining a mixed group of participants implemented a parallel-group design [\[32, 33\]](#page-11-7).

All studies conducted post-intervention assessments within one week after the last treatment to ascertain the short-term effects of tDCS. Except for one study [\[28\],](#page-11-6) all studies incorporated follow-up assessments, ranging from two weeks to six months after the last treatment, to evaluate long-term effects. The cognitive domains assessed varied across studies and the study characteristics are outlined in [Table 1](#page-5-0).

## **Stimulation parameters**

All studies employed anodal stimulation for cortical excitability induction, with electrode montages varying based on cognitive domains of interest. Most of the studies focused on the dorsolateral prefrontal cortex (DLPFC) to modulate memory, either in isolation [\[31\]](#page-11-10) or in conjunction with other cognitive functions [\[25, 26,](#page-11-11) [32, 33\].](#page-11-7) Another five studies explored alternative brain regions for stimulation. For instance, one study utilized left lateral temporal cortex stimulation to enhance memory [\[30\],](#page-11-9) while another targeted the left inferior frontal gyrus to improve executive function and memory [\[24\].](#page-11-5) Another three studies applied anodal stimulation to the



<span id="page-3-0"></span>**Figure 1.** Flowchart of study selection process

RCT: Randomized controlled trial.

left inferior temporoparietal region [\[29\]](#page-11-12), medial frontal cortex [\[28\]](#page-11-6) and right temporoparietal cortex [\[27\]](#page-11-8), respectively, to enhance multiple cognitive domains. Current intensity ranged from 1 to 2 mA, with 2 mA being the most frequently used. Stimulation duration varied from 10 to 30 minutes, with 20 minutes being the most common. Only two studies implemented a single stimulation session [\[25,](#page-11-11) [28\]](#page-11-6), while the others delivered multiple stimulation ranging from two to twenty.

## **Mode of CT**

The majority of studies implemented individualized CT, utilizing various types of cognitive exercises that targeted specific cognitive domains of interest. However, one study provided the participants with groupbased CT [\[24\],](#page-11-5) adopting the strategic memory advanced reasoning training (SMART) protocol, which consisted of 8 hourly group sessions. As previously illustrated in studies that have adopted the same protocol [\[34, 35\]](#page-11-13), the cognitive strategies featured in SMART are hierarchical, with each new strategy building upon the previous one. Through strategic reasoning, meanings are transformed from concrete-based into abstract gist-based. In addition to conventional CT, four studies utilized computerized programs for training delivery [\[26,](#page-11-14) [30-32\].](#page-11-9) Regarding the timing of CT, 7 studies provided online CT concurrently with tDCS stimulation [\[26-33\],](#page-11-14) while two studies implemented tDCS prior to CT  $[24]$  and after CT  $[25]$ . One study did not specify the timing of CT relative to tDCS [\[30\].](#page-11-9)

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<span id="page-4-0"></span>**Figure 2.** Forest plot showing the coupling effect of tDCS with cognitive training on short-term memory

Significant standardized effect size of 0.28 was found (P=0.04).

#### **Coupling effect of tDCS and CT**

In total, 6 studies suggested that coupling tDCS with CT may positively impact cognitive function in individuals with cognitive impairment. Among these studies, 2 focused on subjects with MCI and reported statistically significant improvements in recognition memory [\[25\]](#page-11-11)  and enhanced object location memory training success [\[27\].](#page-11-8) In addition, 2 studies targeted individuals with frontotemporal dementia and demonstrated beneficial coupling effects on picture-naming ability [\[29\]](#page-11-12) and comprehension of communicative intentions [\[28\]](#page-11-6). Another study focused on individuals with AD and found an en-hancement effect on working memory [\[30\]](#page-11-9). Finally, one study included a mixed population of subjects with MCI or AD and reported positive effects on working memory and speed of processing [\[32\].](#page-11-7)

However, 4 studies have reported non-significant or negative results regarding the coupling of tDCS with CT. For instance, Gonzalez et al. (2021) targeted subjects with MCI and found no significant difference between groups despite all groups demonstrating significant improvement in domain-specific cognitive outcomes [\[26\]](#page-11-14). Another study on patients with MCI reported an adverse effect of the combined intervention, with significant enhancement in executive function and episodic memory only found in the sham-controlled group and not in the active tDCS group [\[24\].](#page-11-5) Besides, Cotelli et al. targeted the population with AD and found that both the active tDCS group and the sham-controlled group showed significant memory enhancement effects, indicating that the coupled intervention was not superior to CT alone [\[31\]](#page-11-10). Finally, a study investigating a mixed population of MCI and AD reported non-significant improvement in global cognition [\[33\]](#page-11-15).

### **Meta-analysis**

Four studies were included in the meta-analysis [\[25, 26](#page-11-11)[,](#page-11-9)  [30, 31\],](#page-11-9) which revealed a statistically significant small to medium ES for the immediate effect of coupling tDCS with CT in enhancing cognitive function  $(0.28: 95\%<sub>CI</sub>)$ , 0.02%, 0.55%; P=0.04) [\(Figure 2\).](#page-4-0) However, the longterm ES was non-significant (0.17: 95% CI, -0.09%, 0.44%; P=0.20). No heterogeneity was found in shortterm and long-term effects [\(Figure 3\)](#page-4-1).

## **Methodological quality**

The assessment of methodological quality using the PEDro scale is summarized in [Table 2.](#page-8-0) The evaluated studies exhibited a range of scores from 7 to 10 on a 10-point scale, with an average score of 8.3. It is particularly noteworthy that deductions in the scoring were predominantly due to the deficiencies in allocation concealment and the binding of therapists.



<span id="page-4-1"></span>Figure 3. Forest plot showing the coupling effect of tDCS with cognitive training on long-term memory

A non-significant standardized effect size of 0.17 was found (P=0.20).

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<span id="page-5-0"></span>**Table 1.** Characteristics of The Reviewed Studies

Table 1. Characteristics of The Reviewed Studies







<span id="page-8-0"></span>**Table 2.** Methodological-quality assessment using physiotherapy evidence database scale

Scale of the criterion score: 0: No; 1: Yes.

Note: The PEDro scale criteria comprise eligibility criteria specified, random allocation, allocation concealment, groups similar at baseline, subject blinding, therapist blinding, assessors blinding, less than 15% dropouts, intention-to-treat analysis, between-group statistical comparisons, and point measures and variability data.

## **Discussion**

This systematic review and meta-analysis set out to assess the synergistic influence between tDCS and CT on the cognitive function of patients with MCI or dementia, both in the short and long term. A synthesis of data from selected studies yielded a significant positive short-term effect from the combined intervention. This immediate impact could be attributed to the direct current's capacity to alter the neuronal membrane potential, leading to cortical excitation and potentially facilitating the learning process inherent in CT. Monte-Silva et al. illustrated this immediate effect of brain stimulation and discovered that a solitary stimulation session of 10-13 minutes could induce a modulatory effect lasting for an hour  $\lceil 36 \rceil$ . Consequently, it is plausible that the synergy between tDCS and CT could potentially ameliorate the compromised cognition in patients with MCI or dementia in the short term.

Although the initial outcomes of this intervention show some promise, it is imperative to examine its long-term implications thoroughly. Previous research has demonstrated that repeated sessions of tDCS can induce a cumulative after-effect that lasts up to one week or even longer [\[37, 38\]](#page-11-17), indicating its potential to induce more lasting neuroplastic changes in individuals with impaired cognitive function. Following the principles of LTP, multiple intervention sessions may be necessary to induce more extensive neuroplastic changes. Most studies included in this review employed multiple intervention sessions, ranging from 2 to 20 sessions. Although the meta-analysis did not yield statistically significant longterm effects, the consistent use of multiple sessions in the study designs is noteworthy. Several recent studies have adopted an extended intervention framework to observe the long-term effects of tDCS on participants' cognition. For example, Im et al. implemented a 6-month homebased daily stimulation protocol to enhance global cognition and regional cerebral metabolic rate for glucose in patients with AD [\[39\]](#page-11-18), suggesting a prolonged stimulation protocol involving consecutive daily sessions may bring promising results. This notion aligns with another study, which provided insights that 20 daily administration of the combined intervention may be more beneficial over only two to three weekly sessions [\[40\]](#page-12-0). This emerging evidence suggests that achieving a clinically desirable long-term outcome may require an extended and continuous intervention approach.

This study sought to investigate the optimal intervention protocol. However, due to substantial variability among stimulation parameters and the diverse nature of CT, formulating a definitive statement regarding effective protocols proves challenging. Most studies have targeted the left DLPFC for memory enhancement, resonating with prior research suggesting that the neural architecture of global cognition and memory is densely concentrated within the white matter fiber tracts bridging the left DLPFC and inferior parietal cortex [\[41\]](#page-12-1). Advanced voxel-based lesion-symptom mapping studies further substantiate this theory by revealing that the white matter tracts in the left DLPFC form an integrated system that undergirds human memory processing [\[42\]](#page-12-2). Therefore, exploring the role of DLPFC in patients with compromised cognition is of significant value.

The systematic review has revealed insights into the polarity-dependent effects of tDCS on cognitive function in patients with cognitive impairments. While anodal tDCS has been thought to augment the effect of CT, it may exert the opposite effect in certain circumstances, as emerging evidence suggests a more complex interaction. Das et al. observed increased cerebral blood flow (CBF) in the right middle frontal cortex (MFC) [\[24\]](#page-11-5), which is distant from the inferior frontal gyrus (IFG) the intended target region. This finding, derived from neurophysiological imaging, raises questions about the specificity of tDCS effects. Moreover, behavioral measures indicate that the sham-controlled group experienced significant enhancements in executive functions and episodic memory, which was not found in the experimental group. These results imply that anodal tDCS may not always exert a facilitatory effect on the intended neural region and could inadvertently influence adjacent, non-stimulated areas. This concept is further supported by Yun et al., who suggested that the neural alterations induced by tDCS might span a more extensive network than the focal stimulation site, reflecting the intricate interconnectivity of cerebral hemispheres [\[43\]](#page-12-3). The increased CBF in the MFC might signify a non-localized effect originating from the IFG, hinting at the necessity for concurrently applying tDCS and CT.

Corroborating this, several studies indicate that a simultaneous application of tDCS and CT could be more beneficial. Roncero et al. found that concurrent interventions led to greater and more persistent cognitive en-hancements [\[29\].](#page-11-12) Lu et al. revealed that greater improvement was found in domain-specific cognitive function when the two modalities were conducted simultaneously [\[30\]](#page-11-9) and de Sousa et al. reported that tDCS administered during CT produced better cognitive outcomes [\[27\]](#page-11-8). The collective evidence suggests a synergistic effect when CT and tDCS are delivered concurrently, potentially due to the co-activation of task-related and stimulation-related neural networks. This dual activation may enhance neuroplasticity in targeted regions, leading to more effective cognitive improvement in patients with MCI or dementia. This review, which includes several key studies [\[27,](#page-11-8) [29, 30\],](#page-11-12) reveals the intricate yet promising interplay between tDCS and CT.

This study also underscores the potential differences in the benefits of the combined intervention among individuals with different cognitive performances. While individuals with MCI and dementia both exhibit cognitive impairment, the severity and impact on daily functioning can vary significantly between the two conditions. Therefore, it is crucial to consider the cognitive impairment level when selecting intervention participants. One of the included articles suggested that patients with higher cognitive function at baseline might benefit more from combined interventions [\[33\]](#page-11-15), as they may possess a greater residual neuronal function to promote plastic change, which may be unachievable in late-stage AD. This concept aligns with the findings of a previous RCT, which showed that tDCS was ineffective in patients with moderate to severe dementia with apathy [\[44\].](#page-12-4) Although formulating a definitive statement about the optimal population from the current study may be challenging due to the limited number of articles included, this concept merits careful consideration.

### **Study limitations**

Several limitations in the present study warrant acknowledgment. First, only a few articles were included in the study, which may discourage the result of the meta-analysis. Future trials should strive to recruit larger sample sizes to ensure significantly powered results. Second, there were variations in the assessment tools used in the studies, which may lead to a deviation in the result. Future studies might consider employing standardized, repeatable, and comprehensive cognitive assessment tools, such as the repeatable battery for the assessment of neuropsychological status (RBANS) [\[45\].](#page-12-5) Third, clinical heterogeneity was observed among the study population, as the stage of cognitive decline varied among subjects. Although the mini-mental state examination was used in some studies to screen for MCI and dementia, future studies should incorporate other disease-specific scales, such as the dementia rating scale [\[46\],](#page-12-6) to further differentiate the severity of the diagnosis. This could minimize heterogeneity and enhance the validity and generalizability of the results.

## **Conclusion**

In conclusion, this study sought to assess the potential synergistic impact of tDCS paired with CT on enhancing cognitive functions in individuals diagnosed with MCI or AD. The meta-analytic findings indicate a favorable

influence of this combined intervention on memory performance in the short term. However, the evidence does not substantiate sustained long-term benefits. Nevertheless, the results may be underpowered due to the few articles included. Additionally, the heterogeneity among the studies complicates the determination of an optimal treatment regimen. Future studies should increase the sample size, consider concurrent interventions, prolong the intervention period, and use standardized outcome measures to provide more robust evidence. Lastly, we found a recent study published when this manuscript was completed [\[47\],](#page-12-7) which found that RCT was not included due to the time eligibility criteria.

## **Ethical Considerations**

### **Compliance with ethical guidelines**

This article is a meta-analysis with no human or animal sample.

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### **Authors' contributions**

Study design, data collection, data analysis: Chu Ka Yin; Investigation and assessing the risk of bias: Cheng King Hei; Writing the manuscript: All authors.

## **Conflict of interest**

The authors declared no conflict of interest.

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