



# Cultural Adaption, Validation and Factor Analysis of the Persian Version of the Non-Nutritive Sucking Scoring System

Amirsalar Tozihi<sup>1</sup>, Leila Ghelichi<sup>1</sup>, Arash Bordbar<sup>2</sup> and Maryam Tarameshlu<sup>1\*</sup>

1. Department of Speech and Language Pathology, Rehabilitation Research Center, School of Rehabilitation Sciences, Iran University of Medical Sciences, Tehran, Iran

2. Shahid Akbarabadi Clinical Research Development Unit (ShACRDU), Iran University of Medical Sciences, Tehran, Iran

## Abstract

**Background:** Valid and reliable tools are required to measure non-nutritive sucking and oral feeding readiness of preterm infants. The aim of this study was to translate the Non-Nutritive Sucking scoring system (NNS) into Persian language and to evaluate its measurement properties.

**Methods:** A cross-sectional study was conducted to translate and cross-culturally adapt the NNS to Persian language (P-NNS) following steps described in guidelines. Eighty-eight preterm infants participated in this study. Psychometric properties of floor/ceiling effects, internal consistency reliability, inter-rater reliability, Standard Error of Measurement (SEM), Smallest Detectable Change (SDC) and construct validity were tested. Factor analysis was performed to determine the P-NNS structure.

**Results:** There were no floor or ceiling effects that indicate the content and responsiveness of P-NNS. Internal consistency was high (Cronbach's  $\alpha$  0.905). Item-total correlations exceeded acceptable standard of 0.3 for the all the items (0.3–0.9). The inter-rater reliability was excellent ( $k=0.91$ ,  $SE=0.04$ ;  $p<0.001$ ). SEM and SDC were 8.04 and 22.28, respectively. Construct validity was supported by a significant correlation between the P-NNS total score and the Persian version of the Early Feeding Skills (EFS) total score ( $r=0.94$ ,  $p<0.001$ ). Explanatory factor analysis revealed 2 components for p-NNS.

**Conclusion:** The P-NNS was cross-culturally adapted to Persian and demonstrated to be a reliable and valid instrument to measure non-nutritive sucking and oral feeding readiness of preterm infants.

**Keywords:** Cross-Sectional Studies, Factor Analysis, Infant, Newborn, Persian people, Premature, Psychometrics, Reproducibility of results, Statistical

## \* Corresponding author

**Maryam Tarameshlu, PhD**

Department of Speech and Language Pathology, Rehabilitation Research Center, School of Rehabilitation Sciences, Iran University of Medical Sciences, Tehran, Iran

**Tel:** +98 21 2222 8051

**Email:** tarameshlu.m@iums.ac.ir

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## Introduction

Feeding problems defined as any disturbance of oral feeding is a major issue commonly experienced by premature infants (1-3). The prevalence of feeding problems was reported 50% to 80% in premature infants (4,5). Feeding problems in premature infants can lead to malnutrition, developmental abnormalities, and cognitive dysfunction (6-8). Moreover, it has a negative impact on various economic and social dimensions of the family (9-11).

Successful oral feeding requires adequate oral sensorimotor performance, sucking, swallowing skills, and coordination of sucking, swallowing and breathing (12,13). Sucking as a critical feeding skill indicates the safety of beginning oral feeding (1,2,14). Sucking is considered into two situations including nutritive and non-nutritive (3,5) and as a crucial oral reflex is necessary for oral feeding and self-regulation in infants (4). Therefore, it should be considered in the assessment of the oral intake readiness and treatment of feeding problems in preterm infants (4,11,15,16). Reliable and valid measurements are essential for assessing sucking in preterm infants. There are a few clinical tools to evaluate sucking in preterm infants such as oral motor evaluation scale of newborn (NOMAS) and the Non-Nutritional Sucking scoring system (NNS) (17,18). The NNS is a valid and reliable tool that developed for evaluation of the sucking skills to specify the safe beginning of oral feeding (17,19). Moreover, NNS is a sucking evaluation protocol that objectively scored the criteria necessary for oral feeding in preterm infants (17). The original version of NNS is in English (17). Moreover, the NNS has been translated in Chinese and used as a reliable and valid tool for evaluating oral motor function of premature infants in China (20).

There is a need for clinical assessment tools available in the Persian language to be used for the assessment of sucking skills in preterm infants. The NNS has not been translated and culturally adapted into Persian language. Therefore, the goal of this study is to translate, culturally adapt the Persian version of the NNS and to assess validity and reliability of the Persian NNS (P-NNS) in the preterm infants.

## Materials and Methods

This cross-sectional study was approved by the

Ethical Committee of the Iran University of Medical Sciences (ethics code: IR.IUMS.REC.1399.1430). The procedure of study was completely explained to each mother's infant, and written informed consent for participation in the study was obtained.

## Participants

The preterm infants were enrolled from the Neonatal Intensive Care Unit (NICU) of the Akbarabadi Hospital of the Iran University of Medical Sciences (IUMS) in Tehran, Iran, from May to August 2021. The inclusion criteria were: (1) Gestational Age (GA)  $\leq 36$  weeks, (2) chronological age  $\geq 2$  days, (3) Apgar score  $\geq 6$  at five minutes after birth, (4) enteral feeding (either human milk or formula) by orogastric or nasogastric tube or orally, and (5) physiological stability. The presence of facial abnormalities, congenital malformations, neurological disorders, and infections were considered as the exclusion criteria.

## Instruments

The NNS scoring system is a 12-item clinical instrument for evaluation of non-nutritive sucking abilities at the beginning of oral feeding in preterm infants. A total NNS scoring scale between 50 and 86 indicates the infant's ability to begin oral feeding. The NNS scoring scale is done by gloved finger of the Speech and Language Pathologist's (SLP) right hand. At first, the perioral region specifically near the angle of the mouth is stimulated for evaluation of the rooting reflex. Then, the anterior the palate or lower gum and the tip of the tongue are touched for triggering the sucking reflex (17).

The NNS scoring scale should be accomplished when the preterm infant is awake and has no symptoms of stress such as crying, choking, and eye blinking approximately 30 minutes before meal time (11).

## Procedure

This study was conducted in two phases: (1) translation and adaption of the NNS questionnaire when permission was obtained from the developer of the original NNS, (2) investigation of the psychometric properties of the P-NNS. All the participants were assessed according to P-NNS and Persian version of Early Feeding Skills (EFS). The Persian EFS as a reliable and valid tool was applied

for construct validity. The EFS is an assessment checklist for evaluation of oral feeding readiness in preterm infants. The EFS included four factors critical to successful oral feeding: remaining engaged in feeding, organizing oral motor function, coordinating swallowing and breathing, and maintaining physiological stability (21). For the inter-rater reliability, two trained SLPs independently scored the P-NNS for each preterm infant in a session. Inter-rater reliability was determined for the total scores and section scores. The raters were blinded to their ratings, and no discussion of the scores assigned was allowed.

### **Translation and cross-sectional adaption procedure**

The translation and cross-cultural adaptation procedure of the NNS to Persian language were performed following recommended guidelines (22, 23). Two professional translators with Persian as their mother language, one of them had medical background and was familiar with related concept, independently forward translated the original English version of the NNS to Persian language. After translation, an expert committee together with both translators and a SLP who was involved in the study, discussed and synthesized a Persian version of the NNS questionnaire. The translated NNS was tested on a sample of 10 SLPs to obtain feedback on the acceptability and clarity of the items. Consequently, feedbacks and comments from all the professionals were reviewed and discussed with expert committee and Persian version of the NNS questionnaire was produced. Then, two bilingual translators, who were blinded to the study and had no prior knowledge of the instrument, back translated the preliminary version of the NNS to English. The expert committee developed the Persian version of the NNS (P-NNS) questionnaire (Appendix), considering the original English questionnaire, forward translations, consensus version of forward translations, and the back translations.

### **Statistical analysis**

The SPSS v 11.5 (SPSS Inc; Chicago, IL) was applied to perform statistical analyses. Descriptive statistics was used to assess the missing data, distribution of the scores, and floor and ceiling effects for the total

score (cut-off=15 %) (24). To determine the internal consistency reliability, the Cronbach's  $\alpha$  coefficient was used with a coefficient of 0.7 considered as acceptable (25). The Kappa coefficient was utilized to assess the level of agreement between the assessors. Evaluation criteria for kappa, using guidelines were as follows: Fair: 0.40 to 0.59; Good: 0.60 to 0.74; and Excellent: >0.74 (26,27). The Standard Error of Measurement (SEM) and Smallest Detectable Change (SDC) were calculated as  $SD \sqrt{1 - \alpha}$  and  $1.96 \times \sqrt{2} \times SEM$ , respectively. Spearman correlation coefficient was used to assess the construct validity of the P-NNS by relating this index to the Persian version of EFS. A correlation of 0.7 was considered acceptable for construct validity (24). The factor structure of the P-NNS was analyzed by Principal Component Analysis (PCA) with varimax rotation considering data suitability if Kaiser–Meyer–Olkin (KMO) >0.8 and a significant p-value <0.01 for Bartlett's test of sphericity. A factor load coefficient of greater than 0.3 was considered for an item to be included in a factor. The factor loading of 0.3 is the minimum loading of an item in association with the underlying factor. The scree plot inflection, eigenvalue >1.0, and variance >10% were considered as a-priori requirements for factor extraction (28).

## **Results**

### **Participants' characteristics**

A total of 88 preterm infants (male/female 46/42; mean gestational age  $32.8 \pm 2.35$  weeks; mean birth weight  $1944.09 \pm 589.05$  g) participated in this study. Thirty-three preterm infants (37.5%) were born with respiratory issues. The characteristics of infants are presented in table 1.

### **Translation**

The process of translation of the P-NNS was performed without difficulty and the backward translation was corresponded to the original version. There was no difficulty in understanding the items and all of them were clear and relevant. There were no missing data, and all items of the questionnaire were responded.

### **Floor and ceiling effects**

There were no missing data for individual items of P-NNS. The P-NNS scores ranged between 3 and

**Table 1.** Demographic characteristics of the participants (n = 88)

	Preterm infants (n = 88)
Gestational age at birth (weeks)	32.8±2.35
Chronological age (weeks)	8.75±7.33
Apgar (median)	9
Birth weight (grams)	1944.09±589.050
Delivery mode	
Cesarean	92%
Vagina	8%
Breathing support	
Yes	43.2
No	56.8

86 (50.12±26.07). No patients achieved minimum or maximum possible scores for the P-NNS. Descriptive statistics of mean and standard deviation for items, total scores, positive and negative items scores are summarized in table 2.

### Internal consistency reliability

The overall Cronbach's  $\alpha$  for 12-item P-NNS was 0.905. The Cronbach's  $\alpha$  for each of the item is shown in table 3.

### The SEM and SDC

Absolute reliability measures of the SEM and the SDC for 12-item P-NNS were 8.04 (CI 95%= ±0.59) and 22.28, respectively. The values of SEM and SDC for positive and negative items are shown in table 2.

### Inter-rater Reliability

There was a significant agreement between two raters ( $p < 0.001$ ). Table 4 presents the results of the kappa for each item. The results demonstrated excellent agreement between raters for 11 (91%) items and good agreement for 1 (9%) item. The weighted  $\kappa$  values were 0.91, (SE=0.04,  $p < 0.001$ ) for total score.

### Construct validity

The spearman correlation test revealed a significant

**Table 2.** Means and standard deviation (SD) for the items, total scores, and factors of persian NNS with standard error of measurement (SEM) and smallest detectable change (SDC) (n = 88)

Items	Mean	SD	SEM (95% CI)	SDC
Item 1	3.09	1.68	0.52	1.44
Item 2	2.41	1.97	0.61	1.69
Item 3	8.33	3.86	1.19	3.30
Item 4	5.96	3.10	0.95	2.63
Item 5	5.56	3.03	0.93	2.57
Item 6	5.74	2.74	0.84	2.32
Item 7	8.86	4.78	1.47	4.07
Item 8	6.94	3.92	1.21	3.35
Item 9	6.88	4.02	1.24	3.43
Item 10	-0.78	0.86	0.27	0.74
Item 11	-0.48	0.71	0.22	0.61
Item 12	-2.44	3.27	1.01	2.80
Positive items score	53.78	24.58	7.58	21.01
Negative items score	-3.65	3.81	1.17	3.24
Total score	50.12	26.07	8.04	22.28

NNS: Non-Nutritive sucking scoring System; SD: Standard Deviation; SEM: Standard Error of Measurement; SDC: Smallest Detectable Change.

**Table 3.** Internal consistency reliability values for persian NNS (n = 88)

Items	Scale mean if item deleted	Scale variance if item deleted	Corrected item-total correlation	Squared multiple correlation	Cronbach's alpha if item deleted
Item 1	46.98	619.207	0.61	0.64	0.91
Item 2	47.67	614.338	0.56	0.58	0.91
Item 3	41.75	525.431	0.75	0.72	0.891
Item 4	44.11	546.263	0.81	0.79	0.89
Item 5	44.52	541.241	0.87	0.86	0.88
Item 6	44.34	558.342	0.82	0.78	0.89
Item 7	41.21	462.562	0.91	0.89	0.88
Item 8	43.13	510.786	0.83	0.85	0.89
Item 9	43.19	504.319	0.84	0.82	0.88
Item 10	50.86	663.154	0.30	0.42	0.91
Item 11	50.55	658.778	0.37	0.38	0.91
Item 12	52.52	637.839	0.15	0.31	0.92

NNS: Non-Nutritive Sucking scoring system.

**Table 4.** The kappa values for each item of the persian version of NNS

Items	Kappa values	Agreement power
Item 1	1	Excellent
Item 2	1	Excellent
Item 3	0.887	Excellent
Item 4	0.886	Excellent
Item 5	0.926	Excellent
Item 6	0.886	Excellent
Item 7	0.935	Excellent
Item 8	0.888	Excellent
Item 9	0.953	Excellent
Item 10	0.876	Excellent
Item 11	0.783	Good
Item 12	0.959	Excellent

NNS: Non-Nutritive Sucking scoring system.

relationship between the P-NNS and Persian version of the EFS scores ( $r=0.94$ ,  $p<0.001$ ).

### Factor analysis

The sampling adequacy was 0.84 as calculated with KMO. The Bartlett's test of sphericity (Chi-square=853,  $df=87$ ,  $p<0.001$ ) showed that the

correlation matrix was suitable for factor analysis. Principal component analysis extracted 2 latent factors with eigenvalues greater than 1, which accounted for 66.92% of the total variance. The first factor included 9 items (Items 1-9), which explained 53.81% of the total variance (eigenvalue=5.38). The second factor included 3 items (Items 10-12), which explained 13.11% of the total variance (eigenvalue=1.31). Table 5 shows the component matrix for two factors extracted with the PCA method. Figure 1 depicts the scree plot for 12-item P-NNS.

### Discussion

The most important finding of the present study was that the NNS successfully translated and cross-culturally adapted to Persian language equivalent conceptually with the original instrument. This study showed that the P-NNS is a reliable and valid instrument for evaluating the oral feeding in preterm infants, with psychometric properties in agreement with the original English version.

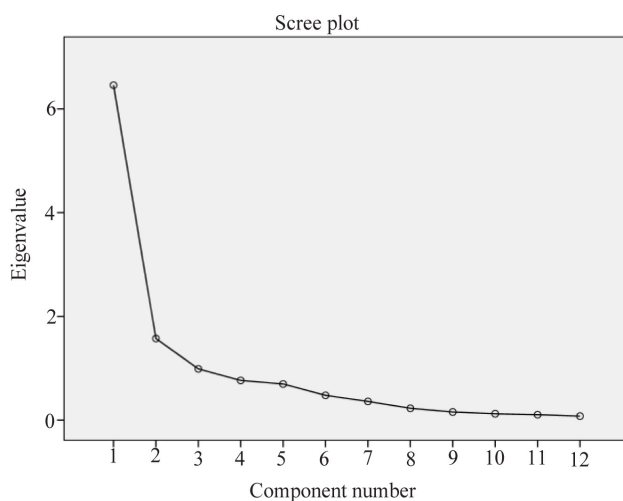
### Face validity and acceptability

The process of forward and back translation for the development of the P-NNS to ensure semantic and conceptual equivalence of the P-NNS to the

**Table 5.** The factor structure of the Persian version of the NNS

Items	Factors	
	Factor 1 (positive items)	Factor 2 (negative items)
Item 1	<b>0.662</b>	0.151
Item 2	<b>0.637</b>	0.199
Item 3	<b>0.804</b>	-0.193
Item 4	<b>0.852</b>	-0.0098
Item 5	0.906	-0.102
Item 6	<b>0.870</b>	-0.054
Item 7	<b>0.933</b>	-0.086
Item 8	<b>0.875</b>	-0.227
Item 9	<b>0.880</b>	-0.120
Item 10	0.230	<b>0.742</b>
Item 11	0.420	<b>0.570</b>
Item 12	-0.193	0.709

NNS: non-nutritive sucking scoring system.



**Figure 1.** Scree plot of 12-item Persian NNS instrument.

original English version was proceeded without difficulties. An agreement among the translators and expert committee members was easily found. No major problems were identified in the pilot testing phase for evaluating the pre-final version reflected in responding easily to all items of the P-NNS. Feedback from SLPs about the content adequacy and wording clarity of P-NNS confirmed the face validity and acceptability of the P-NNS. The face validity and acceptability of P-NNS were consistent with those

reported for original English (17) and Chinese (20).

**Floor and ceiling effects**

Distribution of scores for P-NNS was well ranged without any floor or ceiling effect. Neither a ceiling nor floor effect was identified as no preterm infant had a maximal or minimal P-NNS score. No floor or ceiling effect identified in this study reveals the ability of P-NNS in the meaningful detection of deterioration or improvement in infant’s oral feeding. Excellent completeness of item response by patients, well score distribution, and absence of floor or ceiling effect support the content validity and responsiveness of the P-NNS. Floor and ceiling effects have not been reported for the original English (17) and Chinese (20) versions of the NNS.

**Internal consistency reliability**

The P-NNS showed high acceptable internal consistency ( $\alpha=0.905$ ) similar to that ( $\alpha=0.90$ ) reported for the Chinese version (20) which confirms that all the items of P-NNS are interrelated as a homogenous instrument. The Cronbach’s  $\alpha$  for P-NNS that was between the proposed criterion (0.70–0.90) for a good internal consistency reliability (29) indicates no redundancy in P-NNS items.

**The SEM and SDC**

The SEM and SDC are the absolute reliability measures providing information about the reliability of the instruments and enables clinicians to make well informed decisions regarding whether a real change after an intervention has occurred or whether the observed change is due to the measurement error. The value of SEM for P-NNS was low that indicates a small magnitude of measurement error reflecting the obtained score is more likely to be closer to the true value. The low SEM observed in this study suggests the potential for high test–retest reliability of the P-NNS. In this study, we did not use a test–retest reliability. The SEM obtained for the P-NNS is low that verifies it as a reliable and sensitive measure for detecting real changes. The Chinese study with the NNS had used a test–retest design and confirmed the retest reliability of the Chinese version of the NNS (20).

The SDC enables clinicians to judge whether the

differences of an individual patient achieved on the P-NNS are real. The SDC value of the P-NNS was 22.28. To determine whether a real change in outcome has occurred between testing sessions using the P-NNS, changes in scores achieved by a preterm infant with feeding problems should be more than or less than 22.28 to be considered real. The SEM and SDC were not calculated in the previous studies (17,20).

### **Inter-rater reliability**

This study demonstrated that the P-NNS had excellent inter-rater reliability for evaluating oral feeding readiness in preterm infants. Our findings are consistent with those found for the original English (17). Inter-rater validity was not investigated in the Chinese study (20).

### **Construct validity**

In the current study, construct validity was examined in terms of the correlation between the P-NNS and Persian version of the EFS. A significant inter-correlation between the P-NNS and Persian version of the EFS was revealed, which indicates that the P-NNS has an excellent convergent validity. Convergent construct validity was not calculated in other studies (17,20).

### **Factor analysis**

A factor analysis performed to determine the latent components of P-NNS returned the two latent factors (positive items and negative items). The first factor under the title of positive items was composed of items 1 to 9. The second factor under the title of negative items consisted of items 10 to 12. These findings

were in line with the results of original English and Chinese versions of the NNS studies (17,20).

This study has some limitations. The discriminative validity and cut-off score were not investigated for the P-NNS score. Moreover, we have not evaluated the test-retest reliability based on repeated measurements obtained by P-NNS. Future studies are needed to investigate the discriminative validity and cutoff score of the P-NNS in Persian's preterm population and usefulness of P-NNS for repeated assessments of preterm infants over time.

### **Conclusion**

The present study translated the NNS into the Persian language following standard guidelines and provided evidence of reliability and validity. The Persian NNS is now available for use in NICU and outpatient settings to assess non-nutritive sucking and oral feeding readiness of preterm infants.

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We acknowledge physicians, nurses, and parents of premature infants in the Akbarabadi Teaching Hospital. This study has been approved by the Research Ethics Committee at the Iran University of Medical Sciences (ethics code: IR.IUMS.REC.1399.1430).

### **Data availability**

Data are available on request from the authors.

### **Conflict of Interest**

All authors declare that they have no conflicts of interest.

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