

Assessment of air pollution in the informal settlements of the Western Cape, South Africa

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ABSTRACT

Introduction: South Africa has the highest ambient air pollution exposure associated with morbidity in the Sub-Saharan region, accounting for a total number of 14356 confirmed cases of mortality on an annual basis. The study assessed air pollution exposure levels of Khayelitsha and Marconi-Beam neighbourhoods that are not monitored by the fixed-site monitoring station provided by the government.

Materials and methods: Weekly ambient air pollution measurements of Particulate Matter less than 2.5 μ m (PM_{2.5}) and Nitrogen Dioxide (NO₂) were collected at households in neighbourhoods of Khayelitsha and Marconi-Beam during the summer and winter seasons. PM_{2.5} measurements were collected using Mesa Labs GK2.05 (KTL) cyclone attached to a GilAir Plus air sampling pump. Gases of NO₂ were measured using passive diffusive samplers. Data were recorded on a Microsoft Excel 2016 spreadsheet. Statistical analyses were performed using IBM SPSS Statistics 28.0. The outcome of the seasonal exposure levels will be compared with the South African ambient air quality standards to ascertain the risk of potential exposure to significant levels of PM_{2.5} and NO₂ that are hazardous to human health.

Results: The results suggest that summer NO₂ concentrations in the Khayelitsha neighbourhood ranged between (0 and 28 μ g/m³), while in winter NO₂ concentrations nearly doubled. A similar trend was observed regarding PM_{2.5} behaviour with summer overall exposure level of 7 μ g/m³ and 13 μ g/m³ during winter.

Conclusion: Whilst there are no legislative guidelines to compare the measured weekly average results, the concentrations were still lower than the World Health Organization (WHO), and South African air quality standards values for PM_{25} and NO_2 pollutants.

Introduction

Air pollution is "the world's largest single environmental health risk" [1]. Air pollution has been identified as a major public health hazard facing our generation [2]. According to the World Health Organization (WHO), 91% of the world's population breathes contaminated air which frequently exceeds safe exposure levels [3]. Annually, about four million lives are lost

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as a result of exposure to ambient air pollution around the globe, with low- and middle-income countries bearing the burden [4]. For example, pollutants such as Particulate matter less than 2.5 μ m (PM_{2.5}) and Nitrogen dioxide (NO₂) have been internationally recognized as environmental priority air pollutants since they can constitute a threat to human health and the environment [5]. Africa as a continent is at the centre of this crisis given that it is predominantly characterized by low-income countries. However, many sub-Saharan African countries lack air pollution exposure data despite the global public health risks associated with exposure to air pollution. Air pollution exposure data is important for understanding and addressing public health impacts in developing countries. In Africa air pollution has been described to exceed all other forms of environmental pollution [6]. Moreover, air pollution is increasing at an alarming rate in Africa, inflicting more premature deaths than polluted water and childhood [6]. As a result, it has been linked to diseases like lung cancer, heart disease, chronic and acute respiratory infection, and asthma attacks [7]. Furthermore, long term exposure has been associated with a decrease in life expectancy and premature death [8]. South Africa is no exception to this statistic as some South African provinces to date continue to exceed air pollution exposure limits that regulate the prevention of exposure to hazardous air pollution levels [9].

South Africa has the highest ambient air pollution exposure associated with morbidity in the Sub-Saharan region, accounting for a total number of 14356 confirmed cases of mortality on an annual basis [10, 11]. Therefore, the detrimental effects of ambient air pollution necessitate the constant exposure monitoring of pollutants especially within the township location where the most vulnerable individuals to pollution reside [12]. To bridge this gap in South Africa, exposure assessment of air pollution is usually performed by government agencies that make use of fixed-site monitoring stations. However, fixed

monitoring stations can only measure exposure assessment of pollutants within a very low spatial resolution. That is, measurements from the station only reflects the amount of air pollution within the close vicinity [13, 8]. The rest of the locations that are not within proximity are therefore not accounted for in the air pollution measurements. Therefore, this might significantly encourage exposure misclassification [14]. Unfortunately, Khayelitsha and Marconi-Beam neighbourhoods are situated far away from the fixed monitoring stations provided by the government agencies. As a result, the fixed monitoring stations do not account for their air pollution exposure. In light of this information, the current study aims at assessing the air pollution exposure levels of Khayelitsha and Marconi-Beam neighbourhoods that are not monitored by the fixed-site monitoring station. The objective is to evaluate the seasonal exposure levels of PM225 and NO2 in these neighbourhoods. The outcome of the seasonal exposure levels will be compared with the South African ambient air quality standards to ascertain the risk of potential exposure to significant levels of PM25 and NO2 that are hazardous to human health.

Materials and methods

Study areas and population

This research was carried out in the metropolitan area of Cape Town, which is located in the Western Cape province of South Africa. Weekly exposure measurements were simultaneously conducted in both summer and winter seasons in the two informal and low-cost neighbourhoods of Khayelitsha and Marconi-Beam to identify seasonal variations of pollutants. The Western Cape province has a Mediterranean climate. The summer season lasts from December to March. Whilst the winter season extends from June to late September. Khayelitsha and Marconi-Beam are two townships that have been identified as being at risk of being exposed to air pollution levels that exceed air quality standards [15-18]. Khayelitsha

is a South African township comprising of informal settlements that approximately has a population of 820, 000 residents which make it the biggest township within the metropolis. It is 32 km from the city of Cape Town. In this neighbourhood, wood combustion, domestic garbage, and worn tires are the main causes of air pollution [19]. Excessive PM₁₀ emissions beyond permissible limits are typical in this area [19]. Marconi-Beam, meanwhile, is a township in Milnerton comprised of informal settlements located 17 km from Cape Town and 30 km away from Khayelitsha. It has a population of 37000 residents [20]. Particulates and nitrogen oxides, which are emitted by a nearby petrochemical refinery, are pollutants of concern at Marconi-Beam [17]. Fig. 1 shows the two study areas of Khayelitsha and Marconi-Beam.

Study area map for the two informal settlements: Khayelitsha and Marconi-Beam.

Sampling and data analysis

Air pollution exposure measurements

The mass concentration of particles smaller than 2.5 µm and nitrogen dioxide were measured in two neighbourhoods of similar geographic characteristics. To select households that would maximize the spatial distribution of pollutants in the neighbourhoods the google earth map was generated. From the google earth map, the areas with households of interest for exposure assessment were divided into strata. From the selected strata of household's convenience non-probability sampling techniques were used to sample NO, and PM_{25} in a total number of 40 sites in each neighbourhood. However, due to unanticipated issues such as sample theft in the neighbourhood, the target number of 40 households was not fulfilled in some cases. In each neighbourhood, the sampling train of NO2 and PM2.5 was attached right above the roof structure of the selected household.



Fig. 1. Map of Khayelitsha and Marconi-Beam informal settlements

PM₂₅ was measured using Mesa Labs GK2.05 (KTL) cyclone with the GilAir Plus air sampling pump from Sensidyne. The SKC, PTFE, 2.0, 37 MM filters were used in leak-free 3 PCS filter cassettes. The filters pre sampling was weighted at the Swiss Tropical and Public Health Institute using a custom-made weighting chamber and a Mettler UMX2 microbalance. Similarly, postexposure assessment, Teflon filters were again weighed on a microbalance at the Swiss Tropical and Public Health Institute using a custommade weighting chamber and a Mettler UMX2 microbalance to analyse for PM₂₅ mass. PM₂₅ mass analyses followed procedures prescribed by the Environmental Protection Agency (EPA) [21]. The weighing facility's specifications have already been published elsewhere [22]. For at least 24 h before weighing, filters were conditioned to the weighing room's temperature (20–23 °C \pm 2 °C)

and relative humidity $(30-40\% \pm 5\%)$. A sampling of pollutants was conducted for a duration of seven days for four weeks. The sampling was designed such that the duration of four weeks extends throughout the summer and winter season. To avoid overloading the filters given the long duration of sampling, the GilAir Plus air sampling pump was programmed to run for 15 min/h for a period of 7 days. Whilst, on the other hand, NO₂ gases were measured using passive diffusion passam samplers and analysed in the Passam AG laboratory where the collected NO₂ was analysed photospectrometrically using the Saltzmann method. These passive diffusion samplers are capable of collecting integrated concentration with the averaging time being the same as exposure time [23]. Fig. 2 below depicts the equipment that was used to collect both NO₂ and PM_{2.5} exposure concentrations.

NO₂ : passive gas samplers

PM_{2.5}: Integrated PM2.5 Mass Filters" composed of a Teflon filter connected to a vacuum pump by tubing and size selective centrifugal cyclone







To ensure quality control compliance and that resultant data is representative and comparable, field blanks and duplicate samples were used as part of the exposure monitoring exercise. At the sampling field, field blanks were exposed in the environment for a few seconds. Duplicates of the original samplers were deployed in the sampling field for the same amount of time as the original samplers. Diffusive passive samplers and filter cassettes were stored in a refrigerator at 4°C to sustain the cold chain in the Occupational Health and Safety Laboratory at the Cape Peninsula University of Technology before sampling in compliance with the manufacturer's specifications. Whilst the GilAir personal air sampler pumps were calibrated in the Occupational Health and Safety laboratory using Gillibrator-2 [24]. A Gillibrator-2 primary calibrator uses a mild soap solution to create a bubble inside an internal chamber. The bubble is pulled from the bottom of the chamber to the top by the airflow created by the sampling pump. The bubble is timed by the Gillibrator-2 and a flow rate is calculated by the amount of time it takes the bubble to travel from the bottom of the chamber to the top [24].

Before going out into the field, Occupational Health and Safety Laboratory-based rotameters were used to confirm the correct pump flow rate of 4 L/min in the laboratory after calibration. To keep passive diffusive samplers and filer cassettes cool throughout the transition to the field, cooler boxes with ice blocks were used. Upon arrival in the field, specifically designed rotameters for field sampling were utilized to re-test the flow rate of the pumps and verify that the accuracy of the flow rate is still within 4 litres per minute. Subsequently, after takedown, to analyse passive diffusive samplers and filter cassettes were placed inside ziplock bags and cooler boxes to minimize contamination and to cool down the samples [25].

Data analysis

To contextualize the findings analysed data was compared with the prescribed air quality standards (Table 1). The function of the air quality standards is to regulate air quality management. Table 1 shows the prescribed South African ambient air quality standards for NO_2 and $PM_{2.5}$.

Table 1. South African ambient air quality standards for NO_2 and $PM_{2.5}$ [26]

Pollutants	Average period	Concentrations
Nitrogen dioxide (NO ₂)	1 h	200 µg/m ³
Particulate matter (PM _{2.5})	24 h	$40 \ \mu g/m^3$

Average period means a period over which an average value is determined.

The air quality standards in Table 1 above are used to estimate the maximum concentration of a substance that can be present in the atmosphere without being hazardous. To this end, air quality guidelines serve as a reference for determining and indicating the difference between polluted and non-polluted atmospheres. Furthermore, these standards are supposed to indicate what level of exposure is considered safe for public health and general welfare. So in general exposure concentrations that exceed air quality standards are likely to compromise public health and pollute the atmosphere even though there is no established threshold of safety below which there is no harm [27]. All statistical analyses were performed using IBM SPSS Statistics 28.0. Descriptive univariate statistics were generated for the total sample distribution. The Box and Whisker plots were used to illustrate the seasonal data distribution in terms of the lower quartile, upper quartile, median, minimum, and maximum in each of the two neighbourhoods. To describe the seasonal exposure variations in each neighbourhood, a paired t-test was generated. A t-test is a useful statistical analysis test that can be used to compare the means of two samples to determine if there is a significant difference

between the sample means in a small sample size [28, 29]. In the current study, the t-test provides a p-value that represents the probability that there would be a difference between the mean concentration of pollutants samples in summer and winter. Thus, the paired t-test analysis was chosen to compare the summer and winter mean air pollutants concentrations of NO₂ and PM_{2.5} in Khayelitsha and Marconi-Beam. The average concentrations from these neighbourhoods were later compared with the air quality guidelines indicated in Table 1.

Results and discussion

Fig. 3, illustrates the seasonal data distribution in each of the two neighbourhoods, Khayelitsha and Marconi-Beam, using box and whisker plots.

The Box and Whisker plots demonstrate the seasonal difference in the exposure levels of pollutants in each neighbourhood. The data suggest that summer NO₂ concentrations in the Khayelitsha neighbourhood ranged between (0 and $28 \mu g/m^3$), while in winter NO₂ concentrations nearly doubled (Fig. 3). A similar trend was observed regarding PM_{2.5} behaviour, with summer overall exposure level of 7 $\mu g/m^3$ and 13



Fig. 3. Box and Whisker plots for NO₂ and PM₂₅ seasonal exposure levels in Khayelitsha and Marconi-Beam

 μ g/m³ during winter. Similarly, to Khayelitsha, the Box and Whisker plots in the Marconi-Beam neighbourhood illustrate seasonal variations in NO₂ and PM_{2.5}

Table 2 presents the seasonal exposure assessment outcome of Khayelitsha and Marconi-Beam informal settlements.

The seasonal exposure levels that characterize the pollutants behaviour across the neighbourhoods are listed in Table 2. As expected, intra comparisons of NO₂ and PM_{2.5} exposure concentration in each neighbourhood indicate that pollution levels are generally affected by the change in seasons. In the current study, the phenomenon of pollutants increase during seasonal change has been observed when an overall increase of more than 50% in concentration was observed between NO₂ and PM_{2.5} pollutants during the winter period. This finding of a direct link between PM_{2.5} and NO₂ across neighbourhoods corroborate a long-standing relationship between the two pollutants. A similar trend was observed in the exposure

assessment of PM_{2.5} and NO₂ air pollutants undertaken in the urban neighbourhoods of north and south Durban [30]. The observed trend might be exacerbated by the fact that nitrogen dioxide has been identified as a secondary precursor for particulate matter [31, 32]. The increase of pollutants concentrations during the winter season were all statistically significant ($p \le 0.05$). The results of this study indicate that, as expected, weekly measured ambient NO₂ levels were relatively higher in winter (mean concentration of $34.86 \,\mu\text{g/m}^3$) than in summer (mean concentration of 15.72 μ g/m³) in Khayelitsha. This observation accounts for a double fold increase of NO, exposure concentration during the winter period. Whilst a similar pattern of pollutants behavior was observed in Marconi-Beam.

Furthermore, in Marconi-Beam, NO_2 exposure levels almost increase two-fold during the winter season (Fig. 3). The seasonal behaviour of NO_2 in these two neighbourhoods is identical. Exposure assessment results indicate that the NO_2 exposure

Table 2. Environmental exposure levels of NO_2 and $PM_{2.5}$ ($\mu g/m^3$) in Khayelitsha and Marconi-Beam neighbourhoods

SUMMER						WINTER			
Neighbourhood	N	М	SD	SEM	р	Ν	М	SD	SEM
					NO ₂				
Khayelitsha	40	15.72	6.46	1.02	≤0.05	40	34.86	4.03	0.63
Marconi-Beam	35	15.62	1.99	0.34	≤0.05	35	29.08	4.18	0.71
					PM _{2.5}				
Khayelitsha	40	7.25	3.95	0.62	≤0.05	40	13.74	9.89	1.56
Marconi-Beam	35	7.34	2.81	0.47	≤0.05	35	11.31	5.09	0.86

N: Number of measurements; M: Mean; SD: Standard Deviation; SEM: Standard Error Mean; p: p-Value

level trend is comparable to results obtained elsewhere, where high exposure levels of NO, were discovered during the winter season compared to the summer season [33]. These high exposure levels are likely influenced by the common land-use activities between the two neighbourhoods of Khayelitsha and Marconi-Beam, such as combustion of wood, biomass, and vehicular traffic emissions. Moreover, levels of NO₂ during the summer and winter periods are significantly influenced by "an enhanced oxidation process where a reduction in photochemical reactions occur between NO₂ and Hydroxyl (OH) radicals that form Nitric Acid (HNO₂)". Multiple researches on ambient air quality monitoring has similarly noticed this trend [34, 35].

Although the seasonal results in Khayelitsha and Marconi Beam cannot be statistically compared due to differences in the number of measurements used to determine exposure concentrations, the spatial variability results show that exposure concentrations in both winter and summer sampling campaigns in Marconi Beam and Khayelitsha were relatively similar (Table 2). But with overall concentrations relatively higher in the Khayelitsha neighbourhood as shown in Fig. 3. Comparing the observed seasonal exposure concentrations in Khayelitsha and Marconi-Beam to those in other African states indicates that the observed seasonal exposure concentrations were comparable to those in Ugandan studies that measured mean concentrations of 26.69 μ g/m³ and 17.49 μ g/m³ [36]. The findings of NO_2 seasonal behaviour are consistent with the study conducted in Durban south, as well as Durban metropolis [37, 38]. Several studies in the continent have previously recorded similar observations [39, 40] except for Kenya that recorded the highest exposure concentrations of (159 µg/m³) in summer and (139 μ g/m³) in winter [41]. The pollutants behaviour has been attributed to low wind speed and wind direction [41]. Interestingly, this behaviour of NO₂ pollutants increasing in summer and decreasing during winter seasons in the Athi river neighbourhood in Kenya is inverse to cases that have been observed in South Africa and many other countries in the continent. Therefore, it is worth noting that the case of the Athi river in Kenya is an unusual occurrence in terms of the seasonal variability of air pollutants.

As reflected in Table 2, there is a significant increase ($p \le 0.05$) in the exposure concentration of PM₂₅ during summer and winter in both Khayelitsha and Marconi-Beam. In a study of seasonal variation, a similar pattern was reported, with particulate matter concentrations significantly increasing over the winter [42]. In Khayelitsha, the exposure levels of PM₂₅ almost doubles up in the winter period in contrast to summer (Table 2). Whereas in Marconi-Beam only a slight increase of PM_{2.5} was observed. Be as it may, exposure levels of PM₂₅ in these neighbourhoods during summer and winter were relatively low when associated with those in other African countries like Kenya $(67-166 \ \mu g/m^3)$ and Ghana $(21-39 \ \mu g/m^3)$ that exceeded WHO's guidelines [43, 44]. On the other hand, substantially higher exposure levels were recorded in the neighbourhoods of Thohoyandou in Limpopo during summer (8.64 $\mu g/m^3$) and winter season (9.83 $\mu g/m^3$) in South Africa [45]. However, lower concentrations were observed in Kwadela in summer (51.39 $\mu g/m^3$) and winter (32.18 $\mu g/m^3$) [46]. More so, lower concentrations were recorded in Marikana village in Rustenburg that exceeded both national ambient air quality standards and the international standards [47].

To contextualize the exposure measurements in Khayelitsha and Marconi-Beam, the measured concentrations were compared to the national ambient air quality standards (Table 1). However, the comparisons are difficult and not straightforward to make because none of the two studied pollutants observed in Table 1 have monthly standard limit values [48]. However, to this end, PM_{2.5} summer concentration in the

Khayelitsha neighbourhood ranged from $1 \mu g/m^3$ to $16 \mu g/m^3$. During the winter, exposure values of $1 \mu g/m^3$ to $43 \mu g/m^3$ were observed (Fig. 3). In contrast to particulate matter, summer NO₂ exposure concentrations fluctuated between $0.43\mu g/m^3$ and $28.4 \mu g/m^3$ whereas winter concentrations ranged between $25 \mu g/m^3$ and $43 \mu g/m^3$ (Fig. 3). In Marconi-Beam, summer PM_{2.5} concentration ranged between $2 \mu g/m^3$ and $15 \mu g/m^3$ whereas winter concentrations ranged from $0.81 \mu g/m^3$ and $24.3 \mu g/m^3$. Regardless, all these seasonal concentrations were significantly lower than the South African ambient air quality standards highlighted in Table 1.

The notable seasonal changes are therefore linked to a variety of factors that contribute to a substantial increase in ambient air pollution exposure [49]. During the winter season, the spike in pollutants exposure levels is mostly attributable to factors such as combusting biomass, tyres, and the contribution of motor vehicle traffic emissions [50]. Such practices are very common in informal settlements, where tyres are burned as a source of space heating during the winter season [51]. In addition to these sources of significant pollutants exposure, stable meteorological conditions are also a contributing factor towards pollutants level increase during the cold seasons [52]. This is partly because stable meteorological conditions in winter do not promote the dispersion of contaminants [53]. Thus, episodes such as the brown haze are common during the winter season [54].

Conclusion

Thisstudy determined the exposure concentrations of the most severe air pollutants, NO₂ and PM_{2.5}, in two neighbourhoods that lack monitoring facilities. The ambient air quality concentrations in Khayelitsha and Marconi-Beam demonstrated significant seasonal heterogeneity ($p \le 0.05$) of exposure levels. Overall, winter average weekly concentrations were generally higher than the levels recorded in summer for all pollutants. The seasonal heterogeneity pattern observed in the neighbourhoods of Khayelitsha, and Marconi-Beam is indicative of NO₂ and PM_{2.5} comparable sources of emissions. The NO₂ and PM₂₅ average exposure levels in the current study proved to be lower in contrast to other African countries and international studies. Whilst there are no legislative guidelines to compare the current weekly averages of the study, the concentrations for PM225 and NO2 were lower than the World Health Organization (PM_{2.5}: 24 $h=25\mu g/m^3$, NO₂: 1 $h=200 \mu g/m^3$) and South African air quality standards values (NO₂: 1 h =200 μ g/m³). Thus, exposure risk to significant levels of $\mathrm{PM}_{2.5}$ and NO_2 that are hazardous to human health in these neighbourhoods are low. In as much as exposure levels are below the prescribed South African air quality standards values, but in the interest of continuous air quality monitoring, there is a need for multiple active monitoring stations in the informal settlements of vulnerable communities that will maximize spatial distribution of pollutants, consistently measure the concentrations of PM_{2.5} and NO₂, proactively detect the risks to substantial exposure levels associated with a risk factor for human health and maintain compliance with the prescribed standards. Moreover, as a result of this practice, exposure misclassification might be reduced and exposure monitoring increased.

Limitations

- Although this study covered the harmful pollutants of $PM_{2.5}$ and NO_2 , many other criteria pollutants such as CO, SO_2 and O_3 were not studied due to budget constraints.
- The sampling equipment could not measure the atmospheric meteorological factors.
- Collected data could not be directly compared with the prescribed South African Air Quality Standards
- The data set was not big enough and not consistent between the two study neighbourhoods thus results between the two neighbourhoods could not be compared.

Recommendations

• There is a need for long-term exposure assessment studies for air pollution that will consider investigating the exposure levels of indoor and outdoor pollutants.

• Exposure measurements sampling should be designed such that it is comparable with the prescribed South African air quality standards to ascertain the actual health risks associated with exposure.

• Additionally, focus on other hazardous gaseous pollutants such as CO and O₃.is recommended.

• A detailed study that will incorporate a temporal-spatial variation of air quality is also recommended.

• Instruments used to assess exposure should be capable of reading and recording (in real-time) 1-h and 24-h average pollutant concentrations to enable comparisons to South African legislation. This will provide a clear picture of whether average exposure levels of pollutants exceed recommended limits or not.

• Future studies must focus on the atmospheric meteorological factors that influence air pollutants behaviour in different seasons.

• Finally, developing models to better explain the spatial variability of air pollution in informal settlements is encouraged. This would be a cost-effective method of establishing the spatial distribution of air pollution, which might assist in local policymaking decisions.

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Competing interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Authors' contributions

Benett Siyabonga Madonsela conducted the study and the collection of data relevant for the writing of the article. All authors contributed to conceptualisation, structuring and writing of the article.

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Ethical considerations

Ethical issues (Including plagiarism, Informed Consent, misconduct, data fabrication and/ or falsification, double publication and/ or submission, redundancy, etc) have been completely observed by the authors.

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